

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: KAWAGUCHI, et al.  
Filed: August 28, 2001  
For: LUBRICATING OIL FOR REFRIGERATOR WITH  
COMPRESSOR



INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR 1.97 AND 1.98

Assistant Commissioner for Patents  
Washington, D.C. 20231

August 28, 2001

Sir:

Pursuant to Applicants' duty of disclosure, enclosed please find a List, on a form substantially equivalent to Form PTO-1449, of documents cited in connection with prior applications of the above-identified application, that is, prior application Serial No. 07/502,872, filed April 2, 1990, and prior application Serial No. 07/444,932, filed December 4, 1989.

Since each of Serial No. 07/502,872 and Serial No. 07/444,932 is being relied upon in the above-identified application under 35 USC 120, copies of the listed documents are not enclosed. See 347 CFR 1.98(d).

This Information Disclosure Statement is being submitted concurrently with the filing of the above-identified Continuation application. Accordingly, requirements of 37 CFR 1.97(b) are satisfied.

Further in response to Applicants' duty of disclosure, note that the immediate parent application of the above-identified application, Serial No. 07/502,872, was involved in


each of Interference No. 103,289 and Interference No. 103,291.

In view of the foregoing, it is respectfully submitted that all applicable requirements of 37 CFR 1.97 and 1.98 have been satisfied, in connection with present submission of the enclosed List. Accordingly, consideration of the listed documents, upon examination of the above-identified application, is respectfully requested.

To the extent necessary, Applicants petition for an extension of time under 37 CFR § 1.136. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to the Deposit Account No. 01-2135 (Case No. 396.28283CP4) and please credit any excess fees to such Deposit Account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS, LLP

  
William I. Solomon  
Registration No. 28,565

1300 North Seventeenth Street  
Suite 1800  
Arlington, VA 22209  
Tel.: 703-312-6600  
Fax.: 703-312-6666

WIS/slk  
Enclosure

<b>Form PTO-1449</b>  <b>U.S. DEPARTMENT OF COMMERCE</b> <b>PATENT AND TRADEMARK OFFICE</b>  <b>INFORMATION DISCLOSURE STATEMENT</b> <b>BY APPLICANT</b>  (Use several sheets if necessary)	<b>ATTY. DKT. NO.</b> 396.28283CP4	<b>SERIAL NO.</b>
	<b>APPLICANT</b> KAWAGUCHI, et al.	
	<b>FILING DATE</b> August 28, 2001	<b>GROUP</b>

## U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
/E.M.J.	AA 4,755,316	7-5-88	Magid, et al.			
/E.M.J.	AB 4,248,726	2-81	Uchinuma, et al.			
/E.M.J.	AC 4,267,064	5-81	Sasaki, et al.			
/E.M.J.	AD 4,282,386	8-81	Donate, et al.			
/E.M.J.	AE 4,454,052	6-84	Shoji, et al.			
/E.M.J.	AF 4,455,247	6-84	Nakayama, et al.			
/E.M.J.	AG 4,557,850	12-85	Ando, et al.			
/E.M.J.	AH 4,948,525	8-90	Sasaki, et al.			
	AI					
	AJ					
	AK					
	AL					

## FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation/Abstract	
						Yes	No
	AM 0343662	5-24-89	Europe				
	AN 0336171	2-17-89	Europe				
/E.M.J.	AO 2121818	1-84	UK				
	AP 21632	2-84	Japan				
	AQ						
	AR						
	AS						
	AT						

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	AIU Derwent File Suplier IAPS, 895
	AV Derwent File Suplier IAPS, 594
	AW
	AX
	AY
	AZ
Examiner	/Ellen McAvoy/
Date Considered	08/01/2008